



International PPP Centre of Excellence

Provisional Programme

International PPP Forum:

**“Implementing the United Nations 2030 Agenda for
Sustainable Development through effective, people-first
Public-Private Partnerships”**

9 – 11 May 2017

***City University of Hong Kong
Lau Ming Wai Academic Building***

Background to 'People-first PPPs'

The UNECE International PPP Centre of Excellence supports "People-first PPPs", that enhance the developmental impact of PPPs, *inter alia*, their contribution to poverty eradication, more equitable income allocation, less dependency on fossil fuels, engaging with stakeholders in a meaningful dialogue, while building the capacity of public and private sector to deliver such projects. People-first PPPs are also projects which are economically viable from both an economics and business perspective and ideally have an economic transformational impact.

Role of the Forum

In this work the UNECE International PPP Forum plays a unique role by:

- Reviewing and further developing the international PPP standards for the UN SDGs;
- Supporting the call of the UN Addis Ababa Action Agenda for identifying Principles for PPP in financing development for the future of the planet;
- Encouraging and showcasing "People-first PPPs" across different SDG related sectors;
- Bringing together the international Project Teams that develop standards and model templates for such projects; and
- Providing a meeting place by which teams and governments can meet up and find ways to implement projects in their countries.

Activity of the Forum

The 2017 Forum will discuss the:

- Ways and means of implementing its existing standards, such as Zero Tolerance Approach to Corruption in 'People-First' PPP Procurement and finalising the ones still to be completed, such as the Standards on PPP in renewable energy, water and sanitation and in Rail; and
- Looking to how the PPP can foster other critical programmes in the 2030 Development Agenda where a special session will be devoted to the role of PPP in the Empowerment of Women.

Special High Level Debate/Topics

In addition, the Forum also holds a debate on a specific PPP issue that is topical. The 2017 high level debate will discuss the role of PPP in promoting China's One Belt One Road (OBOR) initiative and focus on the following question:

How can the international community support China to operationalise its OBOR initiative and develop more People-First PPPs?

Technical Steering Committee (TSC)

The TSC is an informal body composed of Project Team leaders working on the standards, senior representatives of the International Specialist Centres; members of the Business Advisory Board; and representatives from the NGO community.

The TSC holds its meetings jointly with the Bureau of the Working Party on PPPs and often in conjunction with Forum events. Its key contribution to the PPP work is to advise and provide guidance on the technical work of the Project Teams. More specifically its role is to comment on the draft standards being elaborated; to make suggestions to speed-up the process of standard making and to enhance it in terms of quality and timelines; and to act as an informal body to build consensus amongst stakeholders as necessary. The TSC also makes recommendations to the Bureau on prioritization of standards and scope of future work.

UNECE Project Teams are a task oriented network of top quality experts representing a broad base of experience applicable to PPPs. They are brought together to develop and create draft PPP standards and guidance for promulgation through the UNECE inter-governmental process.

The standards and guidance developed by Project Teams is intended to be widely applicable and provide governments with clear, empirically proven approaches for developing successful, sustainable, PPP projects and programmes.

Further information on the Project Teams, the Standards, and the UNECE affiliated Specialist Centres of Excellence may be found on the UNECE [website](#).



Declaration of Interdependence

“...it is crucial to build a new generation of partnerships, with governments, civil society, regional organizations, international financial institutions, academia and the business community.

In particular, there is a striking alignment between the interests of many companies and the strategic goals of the international community...”

“...(the need for development) represents an enormous investment opportunity for the corporate sector, whose contribution will be vital for innovation, improved skills, job creation, and developing new markets, products and services.”


***António Guterres
Secretary-General
United Nations***

***24 January 2017
New York, U.S.A.***



Tuesday, 9 May 2017

(Room A – Wong Cheung Lo Hui Yuet Hall, Room 5-211, 5/F)
(Morning session)

8:30-9:00	Registration	
9:00-9:30	Coffee and Networking	
9:30-10:00	Inaugural Remarks by the Co-organizers	Speakers: Way Kuo , President City University of Hong Kong Geoffrey Hamilton , Officer in Charge, Economic Cooperation and Trade Division, UNECE
10:00 -10:15	Opening Remarks	Speaker: Yvonne Choi , Commissioner for Belt and Road, HKSAR
10:15-10:30	Group Photos	
10:30-11:00	Coffee and Networking	
11:00-12:30	High Level Debate <p>“How can the international community support China to operationalise its OBOR initiative and develop more People-First PPPs”</p> <ul style="list-style-type: none"> - What type of projects are needed for the OBOR and how should they be linked to the UN SDGs? - What are the opportunities that the OBOR initiative can bring to various stakeholders, namely, governments, the private sector, consumers, academia, civil society etc.? - Are new bodies needed to deal with all the challenges of cross border PPPs? - How can departments within Governments better work together on OBOR, e.g. to lower trade barriers and improve the legal and regulatory frameworks for PPPs? 	Moderator: Sharanjit Leyl , Producer and Presenter, BBC World News  Panellists: Xiaoping Chen , CEO, Everbright International Annie Wu , Standing Committee Member, the National Committee of Chinese People’s Political Consultative Conference and Lifetime Honorary Board Member, World Trade Centers Association Chris Heathcote , CEO, Global infrastructure Hub Danny Alexander , Vice President, AIIB James Stewart , Chairman, PPP Business Advisory Board Houmin Yan , Dean of College of Business, City University of Hong Kong

Tuesday, 9 May 2017

(Room A – Wong Cheung Lo Hui Yuet Hall, Room 5-211, 5/F)
(Continued - Afternoon session)

12:30-14:00 Lunch Break

<p>14:00-15:30 Plenary Session</p> <p>“16 before 17” - The importance of building effective, accountable and inclusive institutions (SDG 16) in order to strengthen the means of implementation and revitalize the global partnership for sustainable development (SDG 17)</p> <ul style="list-style-type: none"> - How can a Zero Tolerance to Corruption approach and standard build more effective, accountable and inclusive institutions? - How can ZTC be mainstreamed both within PPP projects and national systems? - Are there ways in which we can reward policy makers as well as private sector who adopt ZTC 	<p>Moderator: Sharanjit Leyl, Producer and Presenter, BBC World News</p> <p>Introduction: Geoffrey Hamilton, UNECE</p> <p>Panellists: Raymond Saner, CSEND Mark Giblett, World Bank Rafael Cavalcante, TCU, Brazil Representative, City University of Hong Kong Representative, Independent Commission Against Corruption, Hong Kong</p>
<p>15:30-16:30 Plenary Session</p> <p>UNECE Standards – The role of PPP standards in the context of the United Nations Sustainable Development Goals</p> <ul style="list-style-type: none"> - What are the projects which have had in the speakers’ views, the most positive or negative impact and which could form the basis of sectoral standards? - How might these standards be effectively implemented? Who would be the champions of the standards, especially in low income developing countries most in need of PPP? - What could be the topics for the next batch of international PPP standards for the UN SDGs? 	<p>Moderator: Geoffrey Hamilton, UNECE</p> <p>Panellists: Raymond Saner, CSEND Art Smith, Chairman, UNECE TOS-PPP Jiangrong Yu, UNECE Wayne Yu, Assistant Dean, Executive Education, College of Business, City University of Hong Kong</p>
<p>16:30-17:00 Coffee and Networking</p>	
<p>17:00-18:30 PARALLEL WORK STREAMS – ROUND 1</p>	<p>Room number and further details below</p>
<p>18:30 Banquet Reception</p>	<p>19th Floor, Lau Ming Wai Academic Building</p>

Wednesday, 10 May 2017


(Room A – Wong Cheung Lo Hui Yuet Hall, Room 5-211, 5/F)

<p>9:00-10:30 Guiding Principles for Public Private Partnerships for the United Nations Sustainable Development Goals</p> <p>- Are new guiding principles for PPPs for the 2030 Agenda for Sustainable Development Agenda needed?</p> <p>- What should they cover? Who should be the target audience?</p> <p>- How should they be integrated into a program of action at international, national, and local levels?</p>	<p>Moderator: Geoffrey Hamilton, UNECE</p> <p>Panellists: Alecos Michaelides, Permanent Secretary, Ministry of Transport, Cyprus Ziad Hayek, Secretary General, Higher Council for Privatization, Lebanon Irina Kalenchak, Ministry of Economy, Belarus Claudia LaRue, Expert, Dominican Republic Olaniyi Olaniyan, Ministry of Finance, Nigeria Felix Dodds, Senior Fellow, Global Research Institute</p> <p>Respondent: James Goldstein, Associate Fellow, Tellus Institute</p>
<p>10:30-11:00 Coffee Break and Networking</p>	
<p>11:00-12:30 People First PPP Case Studies</p>	<p>Introduction: Pedro Neves, Member of the UNECE PPP Business Advisory Board</p> <p>Case Studies: Chile, Maipu Hospital, Jorge Mas Egypt, Cairo Waste Water, Miquel Rodriguez Ethiopia, Metro Addis Ababa, Zhao Penglin Morocco, Noor II and III Solar, Thibaut Mourgues Poland, Sustainable Development Plan for Cities, Marcin Gradzi</p> <p>Discussant: Tetiana Bessarab, Bessarab and Partners</p>
<p style="text-align: center;">12:30-13:30 Lunch Break</p>	
<p>13:30-15:00 People First PPP Case Studies (cont'd)</p>	<p>Case Studies: China, Metro Shenzhen, Zhao Penglin Ethiopia, Shire Refugee Camp, Carlos Salle Philippines, City and Region of Butuan, Sam Tabuchi and Satoshi Kato Ukraine, Port of Kherson, Andrey Sokolov United States, Resilience Plan for Emergency Situations, David Dodd</p> <p>Discussant: Raymond Saner, CSEND</p> <p>Project appraisal by the Business Advisory Board: James Stewart, Chairman, UNECE PPP Business Advisory Board</p>

15:00-16:30 PARALLEL WORK STREAMS – ROUND 2	Room number and further details below
16:30-17:00 Coffee Break and Networking	
17:00-18:30 PARALLEL WORK STREAMS – ROUND 3	Room number and further details below

Thursday, 11 May 2017

(Room A – Wong Cheung Lo Hui Yuet Hall, Room 5-211, 5/F)

<p>09:00-10:30 The Hong Kong Experience</p> <ul style="list-style-type: none"> - Hong Kong has an enviable reputation in support for the rule of law and public and private economic cooperation, how are the two linked? - What are the stand out PPPs in Hong Kong that can inspire others? - What are the opportunities for Hong Kong in advancing PPP outside its territory? 	<p>Moderator: Houmin Yan, Dean of College of Business, City University of Hong Kong</p> <p>Presenters: Jacob Kam, Managing Director, Operations & Mainland Business, MTR Corporation Sze Wai Pan, Chairman, Freetech Road Recycling Technology (Holdings) Limited</p> <p>Panellists: Tianyi Wang, Co-Director, TUPPP Yonghen Yang, Associate Dean, School of Public Policy and Management, Tsinghua University Representative from Hong Kong Airport Authority</p>
<p>10:30-11:00 Coffee Break and Networking</p>	
<p>11:00-12:30 Sustainable and Resilient Cities</p> <p>Public-Private cooperation, involving citizens and representatives from different groups will be key to making our cities sustainable and resilient:</p> <ul style="list-style-type: none"> - How can these challenges - some of them needing long term planning - impact on the way a city is run? - Are new laws and policies needed to ensure PPP at the city level, ensuring the right mix of citizens protection and investment incentives? - What are the big transformational projects that have taken place and which can inspire our cities for the future? 	<p>Moderator: Scott Walchak, UNECE</p> <p>Panellists: Kaimeng Li, Director General, China International Engineering Consulting Corporation (CIECC) Qiuxia Liang, Deputy General Manager, CETCCITY Institute, Shenzhen Miquel Rodriguez, Manager, Specialist Centre on PPP in Smart Cities (GrowSmarter), IESE, Barcelona, Spain Josep Ramon Ferrer, former director Smart Cities Barcelona Maria Galindo, Manager, DOXA Innova & Smart</p>
<p>12:30-13:00 Closing and Follow Up</p>	
<p>13:00-14:00 Lunch Break</p>	
<p>14:00-17:00 Optional Site Visit to MTR Hong Kong Metro</p> 	

PARALLEL WORK STREAM – ROUND 1 Tuesday, 9 May 2017 - 17:00-18:30	
Room A WCLHY Hall, 5-211, 5/F	Room B 6-208, 6/F
Seeking the best PPP projects for the OBOR Initiative	Women's Empowerment
<ul style="list-style-type: none"> • <i>Is the record of mega projects in Asia positive or negative and what have been the lessons?</i> • <i>How can OBOR projects be accomplished and at the same time ensure they contribute to a sustainable future? What social and environmental standards should they follow? And can they contribute to people first PPPs?</i> 	<ul style="list-style-type: none"> • <i>How can PPP empower women?</i> • <i>What can enterprises in the infrastructure sector do to encourage women both as prospective employees and as suppliers to their companies?</i> • <i>What are the principles that advance the role of women under which companies should operate?</i> • <i>What would be the role of a Centre to advance women in PPPs and how it could contribute to the UN SDGs?</i>
<p>Hosted by: <i>International Specialist Centre of Excellence on PPPs in Public Transport Logistics</i></p> <p>Moderator: <i>Tianyi Wang, Co-Director, TUPPP</i></p> <p>Presenters: <i>Kuang Shih Yeh, Professor of Organization Management, National Chengchi University, Taiwan and Former Minister of Transportation and Communications Taiwan</i> <i>Ting Lei, General Manager Shenzhen Water Group</i> <i>Guiguo Wang, President, International Academy of the Belt and Road, Hong Kong</i></p> <p>Global Prospective 2030 and Opportunities in Emerging Markets</p> <p>Panellists: <i>Kaimeng Li, Director General, China International Engineering Consulting Corporation (CIECC)</i> <i>Alena Dadzerkina and Igor Severin, Belarus</i> <i>Alexander Bazhenov, Russian Federation</i> <i>Art Smith, Chairman, UNECE Team of Specialists on PPPs</i></p>	<p>Moderator: <i>Tetiana Bessarab, Bessarab and Partners</i></p> <p>Panellists: <i>Annie Wu, Standing Committee Member, the National Committee of Chinese People's Political Consultative Conference and Lifetime Honorary Board Member, World Trade Centers Association</i> <i>Said Massoro, Minister Plenipotentiary, Embassy of Tanzania in Beijing</i> <i>Maria Usik, PPP Unit, Belarus</i> <i>Diala El Chaar, Higher Council for Privatization, Lebanon</i> <i>Lichia Saner-Yiu, CSEND</i> <i>Nuria Chinchilla, IESE, Barcelona, Spain</i></p>
<p>Expected Outcome</p> <p>Identification of the strategic role of the International Specialist Centre on PPPs at City University; identification of OBOR countries, 5 key OBOR projects, and strategic partners to the OBOR effort.</p>	<p>Expected Outcome</p> <p>Identification of the role a Specialist Centre on PPPs in Women's Empowerment can have in advancing women through partnerships.</p>

PARALLEL WORK STREAM – ROUND 2 Wednesday, 10 May 2017 - 15:00-16:30		
Room A WCLHY Hall, 5-211, 5/F	Room B 6-208, 6/F	Room C 6-207, 6/F
Waste to Energy	Innovative approaches and new models for successful PPP project training and capacity building	Smart Cities
<ul style="list-style-type: none"> • <i>How to solve the garbage problem in refugee camps?</i> • <i>How to solve the dangers of waste mountains?</i> • <i>Is there any movable solution to build up small waste to energy unites to provide energy to rural areas so that, e.g. kids could have lights for study during the evening?</i> • <i>How to solve the problem of ‘not in my back yard’?</i> • <i>How to develop Waste to Energy technology in developing countries?</i> 	<ul style="list-style-type: none"> • <i>What are the ingredients for an effective and sustainable capacity building project?</i> • <i>How could best practices be captured into a standard/recommendation?</i> • <i>What does it take to launch this initiative?</i> 	<ul style="list-style-type: none"> • <i>How can we encourage cities to adopt Smart Cities policies in line with the UN SDGs?</i> • <i>Is there one type of Smart City or rather several categories of such a concept? And if the latter what are the various categorization of cities and the different type of problems and challenges they have successfully overcome?</i> • <i>How can a Declaration on Smart Cities and PPP advance the use of effective PPPs for smart cities?</i>
<p>Moderators: Xiaoping Chen, Everbright International Geoffrey Hamilton, Officer in Charge, Economic Cooperation and Trade Division, UNECE</p> <p>Panellists: Xu Haiyun, Chief from CUCD Cai Shuguang, Deputy General Manager, Everbright International Charlotte Griffiths, Sustainable Energy Division, UNECE Rafael Cavalcante, TCU, Brazil Richard Ornitz, Chairman, Infralinx Rene Guicciardi, Senior Expert</p>	<p>Moderator: Tony Bonnici, UNECE</p> <p>Panellists: Maria Usik, PPP Unit, Belarus Art Smith, Chairman, UNECE TOS-PPP Alena Dadzerkina, Consultant, EBRD Igor Severin, former UNDP project manager Victor Gisbert, Makati Medical Center Foundation</p>	<p>Hosted by: Specialist Centre on PPP in Smart and Sustainable Cities, Barcelona, Spain</p> <p>Moderator: Miquel Rodriguez, Manager, Specialist Centre on PPP in Smart Cities, IESE, Barcelona, Spain</p> <p>Panellists: Qiuxia Liang, Deputy General Manager, CETC CITY Institute, Shenzhen Ivonne Higuero, Director Forests, Land and Housing Division, UNECE Irina Zapatrina, Chairman, Ukrainian PPP Centre Maria Galindo, Manager, DOXA Innova & Smart Josep Ramon Ferrer, former director Smart Cities Barcelona Ma Hong, Tungshu Group</p>
Expected Outcome Identification of best practices, case studies, and other contributions to the guidebook on PPPs in waste to energy.	Expected Outcome Agree on basic criteria and launch the initiative .	Expected Outcome Identification of the problems cities face and the PPP solutions that Smart Cities can use to overcome those issues.

PARALLEL WORK STREAM – ROUND 3 Wednesday, 10 May 2017 - 17:00-18:30		
Room A WCLHY Hall, 5-211, 5/F	Room B 6-208, 6/F	Room C 6-207, 6/F
Urban Rail	Impact Investment	The Road to Achieve 500 People First Case Studies for the UN SDGs
<ul style="list-style-type: none"> • <i>What are the urban rail projects that can have transformational impacts on national and regional economies?</i> • <i>How should PPP urban metro rail projects be best organized in cities to reduce the reliance upon cars?</i> • <i>How can urban rail projects improve health and welfare for communities and increase personal security?</i> 	<ul style="list-style-type: none"> • <i>What is the prospective role of impact investment in meeting the UN 2030 agenda?</i> • <i>What do the Governments do to encourage such types of policies? Where will they obtain the funds to facilitate the loans?</i> • <i>What scale up of individual projects will take place? Is it about creating standards to agree on between governments and investors? Is it conceivable that finance by credit banks will be transferred to particular projects without investors doing any due diligence on the projects?</i> 	<ul style="list-style-type: none"> • <i>Why is it so important to launch this program successfully?</i> • <i>What are the practical initiatives that can be taken at different levels to involve as wide a stakeholder community participation as possible?</i> • <i>How could we develop a suitable standard or evaluation methodology to demonstrate that infrastructure projects are meeting the People First criteria?</i>
<p>Team Leader: <i>Kaimeng Li, Metro Rail</i></p> <p>Presenters: <i>Wilson S.M. Shao, General Manager, Beijing MTR Corporation Limited</i> <i>Naresh Bana, Managing Director, BBV Consultants LLP</i> <i>Euan Low, Mott MacDonald</i> <i>Zhao Pengling, President, Shenzhen Metro Transit Association</i></p>	<p>Moderator: <i>Geoffrey Hamilton, Officer in Charge, Economic Cooperation and Trade Division, UNECE</i></p> <p>Panelists: <i>Touraj Etezady, CEO, Marti Invest AG</i> <i>Richard Ornitiz, CEO, Infralinx</i> <i>Ari Firmandi, SVP Project Appraisal, Indonesia Infrastructure Guarantee Fund</i> <i>Maria Galindo, Manager, DOXA Innova & Smart</i></p>	<p>Moderator: <i>Scott Walchak, UNECE</i></p> <p>Introduction: <i>Pedro Neves, Member of the UNECE PPP Business Advisory Board</i></p> <p>Presenters: <i>Myanmar, Biogas Energy, Alan Lau Philippines, Total Laboratory Automation, Januario Veloso Ukraine, Waste, Irina Zapatrino USA, Harbor UCLA Medical Centre, Jim Donovan</i></p>
Expected Outcome	Expected Outcome	Expected Outcome
Identification of the Urban Metro Rail Project Team and launching its programme of work; identification of key transformational case studies in Urban Metro Rail	Validation by the impact investing industry on the potential for People First criteria to support the UN SDGs.	Validation of proposed People First evaluation methodology and criteria.