ANNEX 1

<u>Draft - model for report on occurrences during the carriage of dangerous goods</u>

Report on occurrences during the carriage of dangerous goods in accordance with RID/ADR section 1.8.5

INITIAL DRAFT

Company:	 		
Address:			
Contact Fax:		-	

(The competent authority shall remove this cover sheet before forwarding the report)

1.	Mode				
	Rail		□ Road		
Lo	cation accident				
	Country:				
	Town:				
	Department:				
<u>С</u> г	PS location				
-	Latitude:				
	Longitude:				
	Est:				
	West:				
Co	ntext :				
	eather conditions_	Surface co	onditions	<u>Light conditions</u>	
	mperature: °C	□ Dry		□ Daylight	
	Dry, clear		rost, ice, slush	□ Twilight	
	rain	slippery		□ darkness street light lit	
	snow	□ wet, da	mp	□ darkness street light unlit	
	fog, mist, smoke	□ flood	ın.		
	sleet, hail Thunder storm	□ unknow□ Other	'n		
	unknown	u Other			
	Other				
		1.			
	rastructure		nfrastructure		
	Built-up area Open road		□ Straight road □ Curve road		
	Line category (track category)		□ Curve road □ S – curve road		
	Marshalling yards		□ S – curve road □ Entrance/ exit ramps		
	Multimodal platform		□ Level crossing		
	Single track		□ Round about		
	Multiple Track (more than 1)		□ Tunnel (inside the)		
To	pography:]	Topography:		
	entrance /exit of the tunnel		entrance /exit of the tunr	nel	
	bridge (on a)		□ bridge (on a)		
	under the bridge		under the bridge		
	hicle and dangerous good contained tal number of vehicles/wagons involved				
	or each vehicle/wagon involved, indicate information abo	ut the DG co	intained and the vehicle)		
(, c	i odon vomeno, nagon involvou, maioato imormation abo	4. 110 20 00	ritariou and the vernole)		
	UN number: Name of the dangerous good:				
	Class: Packaging group: Tunnel code:				
	Tunnel code: Total quantity of dangerous good carried (estimated) per UN number:				
	Particular arrangements:				
	<u> </u>				

Register Number: Train Composition: Position of the vehicle(s) in the train: Of those, total number of DG transport unit(s): Locomotive Register Number: Wagon type Wagon Tank wagon Battery wagon Closed wagon Open Wagon	□ Register Number Unit Transport type/ Dangerous good vehicle shape □ Vehicle with trailer or semi-trailer □ Vehicle without trailer or semi-trailer □ Road Train □ Light DGV Vehicle Type □ Closed Vehicle □ Sheeted Vehicle □ Open Vehicle (side boards) □ Dump Truck
□ Sheeted wagon Tank type:	Tank type: vacuum-operated waste tanks MGEC Fixed Tank Portable tank Demontable tank Tank container Tank swap bodies
Packaging type: Packaging Large packaging Intermediate packaging container (IBC) Pressure receptacle Bulk type: BK 1 BK 2 BK3 VC1 VC2 VC3	Packaging type: Packaging Intermediate packaging container (IBC) Pressure receptacle Bulk type: BK 1 BK 2 BK3 VC1 VC2 VC3
Means of containment material: Steel Aluminum wood Carton Plywood Plastic film Metal Paper Plastic Textile glass	

□ derailment rail	□ Leaving the road
□ wrong line in rail	□ Lane departure
□ rolling over: □ left side □ right side	□ rolling over: □ left side □right side
drop from a height	□ jack-knifing
a dop nom a noight	□ drop from a height
	a diop nom a noight
□ Collision Speed (estimated):	□ Collision Speed(estimated):
Crash type:	Crash type:
head on collision	□ head on collision
□ left front	□ left front
□ center front □ right front	□ center front □ right front
□ right side □ left side	□ right side □ left side
1 10 1	1 112 1
1.6	
□ left rear	□ left rear
Collinian against fixed shatests	Callinian against fixed shatests
Collision against fixed obstacle	Collision against fixed obstacle
□ Parked vehicle	□ Parked vehicle
□ Bridge pillars	□ Bridge pillars
□ Safety barrier	□ Safety barrier
□ Stone Rock mountain side	□ Stone Rock mountain side
□ Other permanent object	□ Other permanent object
□ submerged in water	□ submerged in water
Collision against moving obstacle	
□ Train	Collision against moving obstacle
	□ Vehicle □ passenger road vehicle (bus, trolley bus, tram)
	passenger road vehicle (bus, trolley bus, tram)
passenger road vehicle (bus, trolley bus, tram)	
damage type (imminent rick of less of product)	
damage type (imminent risk of loss of product)	
□ bent	
□ Failed to operate	
gouged or cut	
□ ripped or torn	
torn off or damaged	
□ vented	
Leakage:	□ loading/ unloading lines
Place of leakage	piping or fittings
	 pressure relief valve
	□ sample line
_	□ tank shell
gauging device	□ vacuum relief valve
hose adaptor or coupling	□ vent
inlet (loading) valve	□ weld or seam
inner packaging	□ bursting disk
□ inner receptacle	

Dangerous phenomena				
□ absence of dangerous phenomena				
□ Fire (BLEVE, TORCH,) Location □ Tractor Cab □ Road tractor □ Tyres/ trailer axle □ Tank – trailer □ Trailer – semi trailer □ Transport unit	Yes		No	
□ explosion without fire □ Over pressurized inside the tank / pad □ Other	kaging		 □ explosion with fire □ Tank □ Pressure recepte □ Other packaging 	acle
Cause of occurrence				
Technical fault: □ Electrical system failure □ broken component or device □ defective component or device □ missing component or device □ Tyre □ brake system failure □ abrasion □ exterior corrosion □ interior corrosion □ Damaged lining □ Other	ment with the p self-ignition polymerizat Faulty load se improper se inadequate Related to pro	e prode e matoroducion curin ecurin block cedu epara maint proce	ducts ducts derial of the containate carried g: g arrangement ding and bracing re tion for transport tenance	Human causes: effect of alcohol effect of narcotic medical treatment/unwell feeling excessive speed lack of experience inattention sleepiness careless driving loss of control over the DGV (despite the observation of speed limit) loss of control (non specified) non compliance with procedures inadequate training other

 External causes Deshunting weather conditions Other Infrastructure causes: Track failure (broken rail) Disorder engineering structure (bridge, viaduct) Disorder earthwork (embankment, trenches) Fixed installation fire Open level crossing Track failure (broken rail) 	□ External causes □ Slippery / wet road □ weather conditions □ narrow road □ Other
□ Control and command (specify):	
□ Rolling stock (specify):	
□ Exploitation – traffic management (specify):	
Consequences	
Death and injury in DG company personal:	Death and injury third party and public:
Injured (number):	Injured (number):
Death (number):	Death (number):
Number of victims caused by DG Death (number): Injured (number): Traumatic Intoxicated Burned Radiation	Number of victims caused by DG Death (number): Injured (number): Traumatic Intoxicated Burned Radiation
Material/environment damage: Air pollution Water pollution Soil pollution Estimated quantity of loss products (kg/l): Estimated level of damage ≤ 50 000 Estimated level of damage ≥ 50 000 Involvement of authorities: No Yes Evacuation of persons for a duration of at least 3 hours Closure of public traffic routes for a duration of at least 3 hours	

DRAFT MODIFIED AND COMMENTED BY THE WORKING GROUP

Modified parts are in italic bold underlined red

COMMENTS are in bold with grey highlight

tem) :	rding to Cor	sys-
Company:		
Address:		
Contact name:	Telephone:	

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<u>COMMENT</u> Appropriate cases to be added here in order to describe the function of the company (carrier, loader ...)

1. Mode			
□ Rail		□ Road	1
Location and time of accident			
□ Country:		□ <u>Time</u>	<u>ə:</u>
□ Town:			
□ Region, if applicable <u>:</u>			
GNSS positioning (reference INF26 p:30)			
□ Latitude:			
□ Longitude:			
□ East:			
□ West:			
Context:			
Nature of operations (INF 26 Annex II P:31 Cor system):	Surface conditions		<u>Light conditions</u>
Parking	□ Dry		□ Daylight
Carrying	□ Snow, frost, ice, slush		□ Twilight
<u>Loading</u>	□ Slippery		□ Darkness street lighting
Unloading	□ Wet, damp		□ Darkness no street lighting
· · · · · · ·	□ Flood		
Weather conditions	□ Unknown □ Other		
Temperature: °C	U Otilei		
□ Dry, clear			
Rain			
□ Snow			
□ Fog, mist, smoke			
□ Sleet, hail			
□ Thunder storm			
□ High winds			
□ Unknown			
□ Other			
<u>Infrastructure</u>		Infrastruct	ur <u>e</u>
Gradient (if known, the estimated value):		Gradient /i	f known, the estimated value):
Could be here or under a section entitled "topography	,",		re or under a section entitled "topog-
Topography	_	raphy".	
Environment:			
□ Parking place		<u>Environme</u>	
□ Urbanisation (section 4 risk estimation guide)		Parking	
		Urbanis quide)	ation (section 4 risk estimation
□ COMMENT Line category (codification)	tion to be		
agreed):		□ Type of	road
□ Open line			ENT check Cadas, specify
□ Station/Terminal			: for example high-
□ Station or siding		way)	
□ (Shunting/)Marshalling yard			
		-	n of the road:
□ Single track		□ Straight	
□ Multiple Track (more than 1)		□ Curved r	road

	□ S – curve road
<u>Tunnel:</u>	□ Entrance/exit ramps
entry area	□ Level crossing
□ on/inside	□ Roundabout
exit area	Tunnel: Category:
	- entry area
	- on/inside
	- exit area
□ Level crossing	
	Bridge:
	□ bridge (on a)
	under the bridge
	Is it relevant to specify the number of lanes (one, two three
	lanes (with central barrier, without central barrier)
Vehicle and dangerous good contained	
Total number of vehicles/wagons involved	
(For each vehicle/wagon involved, provide information about the DG co	ontained and the vehicle)
	,
COMMENT shock how to link the	a IIN number and the centainment be
UN number(s) COMMENT check how to link th	e un number and the containment be
checked □ Name of the dangerous good(s):	
□ Class: □ Packing group:	
□ Total quantity of dangerous good carried (estimated) per UN	I number:
□ Particular arrangements:	
□ <u>Unique vehicle number</u> [could be transferred to the confi-	Vehicle Identification Number [could be transferred to the
dential part of the report]:	confidential part of the report]:
□ Train Number:	-
Total number of wagons in the train:	Unit Transport type/Dangerous good vehicle shape
Involved wagons :	□ Towing vehicle with trailer or semi-trailer
Position of involved wagons(s) in the train:	□ Towing vehicle without trailer or semi-trailer
□ Of those, total number of dangerous goods wagons:	
	□ Towing vehicle without trailer or semi-trailer
□ Of those, total number of dangerous goods wagons:	□ Towing vehicle without trailer or semi-trailer □ Road train

Wagon type	Vehicle Type
□ Wagon	□ Closed vehicle
□ Tank-wagon	□ Sheeted vehicle
□ Battery-wagon	□ Open vehicle (side boards)
□ Closed wagon	
□ Open wagon	
□ Sheeted wagon	
	Tank type: Tank Code:
Tank type: Tank Code:	□ Vacuum-operated waste tank
□ Vacuum-operated waste tank	□ MEGC
□ MEGC	□ Fixed tank
□ Fixed tank	□ Portable tank
□ Portable tank	□ Demountable tank
□ Demountable tank	□ Tank-container
□ Tank-container	□ Tank swap bodies
□ Tank swap bodies	
	Packaging type: Packaging <u>code:</u>
Packaging type: Packaging <u>code:</u>	□ Packaging
□ Packaging	□ Large packaging
□ Large packaging	□ Intermediate bulk container (IBC)
□ Intermediate bulk container (IBC)	□ Pressure receptacle
□ Pressure receptacle	
·	Bulk type:
Bulk type:	□ BK 1
□ BK 1	□ BK 2
□ BK 2	□ BK 3
□ BK 3	□ VC1
□ VC 1	□ VC 2
□ VC 2	□ VC 3
□ VC 3	
Means of containment material:	
Steel	
□ Aluminum	
□ wood	
□ Fibreboard	
□ Plywood	
□ Plastic film	
□ Metal	
□ Paper	
□ Plastic	
□ Textile	
□ Glass	
COMMENT Is it necessary to list the materia	Is if the nacking code is shown first?
is it is seeing to not the material	io ii ano paokang obao io onown metr

Description of the occurrence	
□ Rolling over:	□ Rolling over:
□ On the track □ outside the track	□ On the road □ outside the road
□ Drop from a height	Drop from a height
□ Derailment	□ leaving the road
	□ lane departure (does not exist in CADAS/CARE)
	□ Jack-knifing <u>(relevant?)</u>
	□ Tyre Fire?
	□ Blow-out
□ Collision Speed (estimated):	
Crash type:	□ Collision Speed(estimated):
□ Head on collision	Crash type:
□ Left front	□ Head on collision
□ Centre front	□ Left front
□ Right front	□ Centre front
□ Right side	□ Right front
□ Left side	□ Right side
□ Rear end collision	□ Left side
□ Right rear	COMMENT Sides potentially relevant for
□ Centre rear	road (in connection with the information on
□ Left rear	left-hand/right-hand side driving legislation
	in place). Not in rail (where no national
Collision against fixed obstacle:	regulations on running direction on two
□ Bridge pillars	track lines exist and it even differs within
□ Obstacles outside clearance gauge	countries)
□ Other permanent object	□ Rear end collision
□ Submerged in water	□ Right rear
□ Buffer-stop	□ Centre rear
 Overhead contact lines 	□ Left rear
Collision with objects temporarily present on and near track	Collision against fixed obstacle :
Rocks/landslides/treesLost parts of (railway) vehicles	□ Bridge pillars
- Lost or displaced loads	□ Safety barrier
- Other	□ Stone or rock mountain side
	□ Other permanent object
Collision with vehicle	□ Submerged in water
□ Train/railway vehicle	
□ Track maintenance equipment	
□ Road vehicle	Collision with objects temporarily present on and near road
□ Moving	- Rocks/landslides/trees
□ Stationary	Lost parts of (railway) vehiclesLost or displaced loads
	- Other
	Collision with vehicle
	□ Vehicle
	□ Moving
	□ Stationary

Damage type (imminent risk of loss of product):	
□ Bent	
□ failed to operate COMMENT does not seem to be the logical place for this)	
□ Gouged or cut	
□ Ripped or torn	
□ Torn off or damaged	
□ Vented	
<u>Leakage</u> □ Yes □ No Place of leakage	□ Loading/ unloading lines□ Piping or fittings
□ Cylinder valve	□ Pressure relief valve
□ Flange	□ Sample line
□ Gauging device	□ Tank shell
□ Hose adaptor or coupling	□ Vacuum relief valve
□ Inlet (loading) valve	□ Vent
□ Inner packaging	□ Weld or seam
□ Inner receptacle	□ Bursting disk
Dangerous phenomena	
Comment:	
⇒ Release type may be introduced and aligned with the least type may be introduced and aligned with the least type.	Risk Management Framework
□ Dangerous phenomena list may be aligned with the Ri	sk Management Framework
⇒ Dangerous phenomena list may be aligned with the Ri	sk Management Framework
 ⇒ Dangerous phenomena list may be aligned with the Ri □ Absence of dangerous phenomena 	sk Management Framework
	sk Management Framework No
□ Absence of dangerous phenomena	
□ Absence of dangerous phenomena □ Fire (BLEVE, TORCH,) □ Yes □	
□ Absence of dangerous phenomena □ Fire (BLEVE, TORCH,) □ Yes □ Location:	
□ Absence of dangerous phenomena □ Fire (BLEVE, TORCH,) □ Yes □ Location: □ Tractor Cab	
□ Absence of dangerous phenomena □ Fire (BLEVE, TORCH,) □ Yes □ Location: □ Tractor Cab □ Road tractor	
□ Absence of dangerous phenomena □ Fire (BLEVE, TORCH,) □ Yes □ Location: □ Tractor Cab □ Road tractor □ Tyres/ trailer axle	
□ Absence of dangerous phenomena □ Fire (BLEVE, TORCH,) □ Yes □ Location: □ Tractor Cab □ Road tractor □ Tyres/ trailer axle □ Tank − trailer	
□ Absence of dangerous phenomena □ Fire (BLEVE, TORCH,) □ Yes □ Location: □ Tractor Cab □ Road tractor □ Tyres/ trailer axle □ Tank – trailer □ Trailer – semi trailer □ Transport unit	
□ Absence of dangerous phenomena □ Fire (BLEVE, TORCH,) □ Yes □ Location: □ Tractor Cab □ Road tractor □ Tyres/ trailer axle □ Tank – trailer □ Trailer – semi trailer	
□ Absence of dangerous phenomena □ Fire (BLEVE, TORCH,) □ Yes □ Location: □ Tractor Cab □ Road tractor □ Tyres/ trailer axle □ Tank − trailer □ Trailer − semi trailer □ Transport unit □ Vapour cloud	No
□ Absence of dangerous phenomena □ Fire (BLEVE, TORCH,) □ Yes □ Location: □ Tractor Cab □ Road tractor □ Tyres/ trailer axle □ Tank – trailer □ Trailer – semi trailer □ Transport unit □ Vapour cloud □ Explosion without fire	No Explosion with fire
□ Absence of dangerous phenomena □ Fire (BLEVE, TORCH,) □ Yes □ Location: □ Tractor Cab □ Road tractor □ Tyres/ trailer axle □ Tank − trailer □ Trailer − semi trailer □ Transport unit □ Vapour cloud	No □ Explosion with fire □ Tank
□ Absence of dangerous phenomena □ Fire (BLEVE, TORCH,) □ Yes □ Location: □ Tractor Cab □ Road tractor □ Tyres/ trailer axle □ Tank – trailer □ Trailer – semi trailer □ Transport unit □ Vapour cloud □ Explosion without fire □ Over pressurized inside the tank / packaging	No Explosion with fire

Cause of occurrence

<u>COMMENT</u> This part is partly mode specific or generic The mode specific part must be aligned with applicable modal legislation For taxonomy see COR p 34 to 40

Technical fault on vehicle:

- □ Electrical system failure
- □ Mechanical system failure
- □ Broken component or device
- □ Defective component or device
- □ Missing component or device
- □ Wheel/tyre
- □ Braking system failure
- □ Abrasion
- □ Exterior corrosion
- □ Interior corrosion
- □ Damaged lining
- □ Coupling failure (rail)
- □ Engine failure
- □ Axle failure (rail)
- □ Other

Related to DG carried

- □ Incompatible products
- □ Incompatible material of the containment with the product carried
- □ Self-ignition
- □ Polymerization

Faulty load securing:

- □ Improper securing arrangement
- □ Inadequate blocking and bracing

Related to procedure

- □ Improper preparation for transport
- □ Inadequate maintenance
- □ Inadequate procedures
- □ Overfilled
- Over pressurized
- □ Valve open

Human causes:

- □ Deliberate action
- □ Effect of alcohol
- □ Effect of narcotic drugs
- □ Medical emergency / drug therapy
- □ Excessive speed
- □ Lack of experience
- □ Inattention
- □ Sleepiness
- Carelessness (driving, manoeuvering)
- Loss of control over the vehicle (despite observation of speed limit)
- □ Loss of control (not specified, road only)

(COMMENT more explanation is needed)

- □ Non compliance with procedures
- □ Inadequate training
- □ Other

Technical fault on fixed installation (rail only)□ Broken rail		
 □ Track buckle and other track misalignment □ Wrong-side signalling (infrastructure) failure □ Switch and crossing failure □ Failure of the level crossing equipment □ Disorder of earthworks/embankment failure □ Power supply equipment failure □ Train detection equipment failure □ Overhead contact line failure □ Fire on fixed installation □ Other (technical failures of fixed installations) □ Structures failure □ Viaduct failure □ Culvert failures □ Rail bridge structural failure overline bridge (e.g. pedestrian) failure □ Station structure failure □ Platform failure 		
□ External causes	□ External causes	
□ Weather conditions	□ Slippery / wet road	
□ Rock/stone fall □ Landslides	□ Weather conditions □ Narrow road	
□ Earthquake	□ Other	
□ Vegetation		
□ Other		
Consequences		

Death of or injury to DG company personnel:	
	Material/environment damages:
□ Injured (total number):	□ Air pollution
Number of days hospitalisation:	□ Water pollution
Need to specify?	□ Soil pollution
Serious injury (Abbreviated Injury Scale (AIS) > 3)	□ Estimated quantity of lost product (kg/l):
□ Minor injury (AIS < 3)	□ Estimated level of damage ≤ 50 000
	□ Estimated level of damage ≥ 50 000
Nature of injury:	<u>-</u>
□ Traumatic	COMMENT It has been asked why this
□ Intoxicated	threshold. Maybe it would be better to
□ Burned	specify the amount.
□ Radiation	specify the amount.
	Involvement of authorities:
□ Death (number):	□ No
,	☐ Yes
Number of victims caused by DG	
	COMMENT nature of authorities should
Injured (total number):	be specified
□ Number of days hospitalisation:	□ Evacuation of persons for a duration of at least 3 hours
Need to specify?	□ Closure of public traffic routes for a duration of at least
□ Serious injury (AIS>3)	3 hours
□ Minor injury (AIS<3)	
Nature of injury:	
□ Traumatic	
□ Intoxicated	
□ Burned	
□ Radiation	
Do ath (according)	
Death (number):	
_ , , , , , , , , , , , , , , , , , , ,	
Death of and injury to third party and public:	
Injured (number):	
Death (number):	