

ECONOMIC COMMISSION FOR EUROPE

EXECUTIVE COMMITTEE

Ninety-ninth meeting
Geneva, 4 July 2018

Item 8

Informal Document No. **2018/19**

Extrabudgetary projects

**Enhancing strategic environmental assessment and transboundary environment impact
assessment in Eastern Europe and the Caucasus**

**UNITED NATIONS ECONOMIC COMMISSION FOR EUROPE
TECHNICAL COOPERATION PROJECT FORM
PART I. Planning**

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|--|--|-------------------|
| Project title: Enhancing strategic environmental assessment and transboundary environmental impact assessment in Eastern Europe and the Caucasus | | |
| Expected timing/ duration: September 2018 – September 2022 | | |
| Objective and brief summary of the project: The main objective of the project is to enhance strategic environmental assessment (SEA) and transboundary environmental impact assessment (EIA) in six beneficiary countries with a view to preventing and mitigating damage to the environment and health from the economic growth. The project aims to reinforce and sustain the results achieved in the countries so far by ensuring that their newly established legislation on SEA and EIA is complemented with secondary regulations, fully aligned with the UNECE Convention on Environmental Impact Assessment in a Transboundary Context (Espoo Convention), its Protocol on Strategic Environmental Assessment (Protocol on SEA), and systematically applied for greening national economies and for sustainable development. The project will improve environmental governance by enhancing transparency of decision-making, cross-sectoral cooperation, public participation and stakeholder consultation. The project will promote transboundary and regional cooperation in assessing environmental impacts. The objective of the project will be achieved by implementing the following activities: A1.1. Providing substantive legal support to amend the primary legislation or to develop/finalize secondary legislation to fully align it with UNECE treaties and EU directives in four selected beneficiary countries; A1.2. Organizing 4 capacity-building events (one in each of the four selected countries) to support the adoption of the legislation; A2.1. Conducting 5 pilots on SEA (or transboundary EIA) to provide hands-on learning for implementing the SEA procedure, involving analytical and consultative tasks, in accordance with the Protocol on SEA (or the Espoo Convention); A2.2. Organizing 12 training events (two in each of the six beneficiary countries) on the application of SEA and transboundary EIA; A2.3. Organizing 6 awareness raising events (one in each of the six beneficiary countries) to promote the application the national SEA (or the transboundary EIA) legislation; A2.4. Producing a video on transboundary EIA and the Espoo Convention (in English and the national languages of the five beneficiary countries) to promote the application of transboundary EIA and its benefits; A2.5. Preparing awareness raising materials (a leaflet on good practice in SEA/transboundary EIA, a brochure on the role of public participation in SEA/EIA) on SEA and/or transboundary EIA (one per country in each of the six countries); A2.6. Preparing up to 6 sector or issue-specific guidelines on SEA to complement existing general guidelines on SEA; A2.7. Organizing two sub-regional events (i.e. training workshops and/or study tours) to facilitate information exchange and experience sharing among the beneficiary countries; A2.8. Developing a model for a SEA database to support application of SEA procedures. | | |
| Expected results of the project: EA1. Enhanced national legislative framework for SEA and transboundary EIA fully aligned with the UNECE Espoo Convention and its Protocol on SEA; EA2. Improved national capacities to apply SEA and transboundary EIA procedures in accordance with the UNECE Espoo Convention and its Protocol on SEA. | | |
| Target group and beneficiaries of the project: Target groups: (i) National and local authorities from the environment, health and economic sectors and land-use planning authorities, (ii) SEA and EIA practitioners, (iii) NGOs, academia, and private sector (e.g. project developers). Beneficiary countries: Armenia, Azerbaijan, Belarus, Georgia, Republic of Moldova, Ukraine. | | |
| Justification of project and its relationship to the programme of work: The proposed project is linked to the Expected accomplishment (b) “Strengthened implementation of ECE multilateral environmental commitments and increased geographical coverage” of the Subprogramme 1 “Environment” of the UNECE Strategic framework for 2018–2019. Beneficiary countries have expressed their need for receiving further support from UNECE on SEA and EIA. The project contributes to the implementation of the Programme of Work of the Espoo Convention and its Protocol for 2017–2020 (ECE/MP.EIA/23/Add.1–ECE/MP.EIA/SEA/7/Add.1, decision VII/3–III/3, annexes I and II). | | |
| Estimated UN regular budget resources (work months of RB staff/level of Staff): 2.5 months/P4 for 48 months | | |
| Estimated extra budgetary resources: | | |
| Donor | Amount (US\$) | |
| European Union (Directorate-General for European Neighbourhood Policy and Enlargement Negotiations (DG NEAR) | US\$ 2,817,332 (EUR 2,384,687) | |
| Project Manager: Tea Aulavuo 11.06.2018 | Section/Division: Environment Division | |
| Cleared by Programme Management Unit: Catherine Haswell 11.06.2018 | Approved by EXCOM¹ | 04.07.2018 |
| Chief of PMU | | |

¹ See paragraph 31 (a) of Commission decision A(65).

Annex
Results-based budget for the extra-budgetary project

| Part I. Planning (to be filled in before submission to EXCOM) | | | Part II. Implementation (to be used for reporting on progress in the implementation of the project in real time) ^{1,2} | |
|--|--|-------------------------------|---|---|
| Expected accomplishments | Planned activities | Estimated costs (US\$) | Implemented activities³ | Actual expenditures⁴ (US\$) |
| EA1. Enhanced national legislative framework for SEA and transboundary EIA fully aligned with the UNECE Espoo Convention and its Protocol on SEA | A1.1. Providing substantive legal support to amend the primary legislation or to develop/finalize secondary legislation. Staff support/ P3 x 5.6 months x \$16,600 per month Staff support / G4 x 5.6 months x \$8,400 per month Individual contractor to provide administrative support x 5.6 months x \$1,667 per month 6 international and national legal experts x 1 month x \$5,740 per month Travel of staff x 4 missions x \$1,300 per mission Travel of experts x 8 missions x \$1,460 Contractual services to provide logistical support in 4 countries x \$6,580 Operating and other direct costs | 227,975 | | |
| | A1.2. Organizing 4 capacity-building events (one in each of the selected four countries) to support the adoption of the legislation. Staff support/ P3 x 4 months x \$16,600 per month Staff support/ G4 x 4 months x \$8,400 per month Individual contractor to provide administrative support x 4 months x \$1,667 per month 6 international and national legal experts x 0.3 month x \$5,867 per month Travel of staff x 4 missions x \$1,460 per mission Travel of experts x 4 missions x \$1,460 per mission Contractual services to organize the events (1 day, up to 40 participants) x 4 events x \$4,464 per event Operating and other direct costs | 147,765 | | |
| EA2. Improved national capacities to apply SEA and transboundary EIA procedures in accordance with | A2.1. Conducting 5 pilots SEAs (or on transboundary EIA). Staff support/ P3 x 7.6 months x \$16,600 per month Staff support/G4 x 7.6 months x \$8,400 per month Individual contractor to provide administrative support x 7.6 months x \$1,667 per month 10 international consultants x 1.5 months x \$6,600 per month Travel of staff x 5 missions x \$1,460 per mission Travel of experts x 20 missions x \$1,680 per mission | 617,119 | | |

¹ The secretariat shall inform EXCOM in case of unexpected developments or serious problems of any kind in the project implementation.

² Questions from member States on project implementation will be forwarded to the secretariat.

³ Relevant information should be uploaded by the project manager on the Project Monitoring Tool within one month from the end of the activity and include the following:

(a) For an advisory service (including at a workshop/seminar/training organized by other organizations): title; venue; dates; project expenditures; and hyperlink(s) to presentation(s) and other relevant documents;
(b) For a workshop/conference/training organized by UNECE: title; venue; dates; project expenditures; and hyperlinks to the meeting agenda, list of participants, presentation(s) made by UNECE, conference documents, training materials and reports;

(c) For a consultancy: project expenditures; hyperlinks to the consultancy ToR and main outputs (study, report, training material, presentation, etc.) produced by the consultant/s;

(d) For other outputs not included under bullet points above: hyperlink to relevant documents.

⁴ Relevant financial information – certified by the Executive Office - should be uploaded by the project manager on the Project Monitoring Tool within one month from the end of the activity.

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| the UNECE Espoo Convention and its Protocol on SEA | Contractual services to provide national experts' inputs, technical and logistical support in 5 countries x \$54,710 per country Operating and other direct costs | 273,550 1,000 | | |
| | A2.2. Organizing 12 training events (two in each of the six beneficiary countries) to promote the application of SEA (or as required the transboundary EIA). Staff support/ P3 x 4.8 months x \$16,600 per month Staff support/ G4 x 4.8 months x \$8,400 per month Individual contractor to provide administrative support x 4.8 months x \$1,667 per month 12 international consultants x 0.5 month x \$8,640 per month Travel of staff x 6 missions x \$1,300 per mission Travel of experts x 12 missions x \$1,460 per mission Contractual services to organize the trainings (2 days, up to 25 participants) x 12 trainings x \$9,615 per training Operating and other direct costs | 321,542 79,680 40,320 8,002 51,840 7,800 17,520 115,380 1,000 | | |
| | A2.3. Organizing 6 awareness raising events (one in each of the six beneficiary countries) to promote the application the national SEA (or as required the transboundary EIA) legislation. Staff support/ P3 x 4 months x \$16,600 per month Staff support/(G4 x 4 months x \$8,400 per month Individual contractor to provide administrative support x 4 months x \$1,667 per month 4 international consultants x 0.25 month x \$8,640 per month Travel of staff x 6 missions x \$1,460 per mission Travel of experts x 6 missions x \$1,460 per mission Contractual services to organize the events (1 day, up to 40 participants) x 6 events x \$5,620 per event Operating and other direct costs | 167,548 66,400 33,600 6,668 8,640 8,760 8,760 33,720 1,000 | | |
| | A2.4. Preparing a video on transboundary EIA and the Espoo Convention (in English and in the national languages) to promote the application of transboundary EIA and its benefits. Staff support/ P3 x 2 months x \$16,600 per month Staff support/ G4 x 2 months x \$8,400 per month Individual contractor to provide administrative support x 2 months x \$1,667 per month 2 international consultants x 2.5 months x \$9,000 per month Contractual services/grants to prepare national versions of the video in 5 countries x \$5,000 per country Operating and other direct costs | 124,334 33,200 16,800 3,334 45,000 25,000 1,000 | | |
| | A2.5. Preparing awareness raising materials on SEA and/or transboundary EIA (one per country in each of the six countries). Staff support/ P3 x 2 months x \$16,600 per month Staff support/G4 x 2 months x \$8,400 per month Individual contractor to provide administrative support x 2 months x \$1,667 per month Contractual services/grants to prepare awareness raising materials in 6 countries x \$3,000 per country Operating and other direct costs | 72,334 33,200 16,800 3,334 18,000 1,000 | | |
| | A2.6. Preparing up to 6 sector or issue-specific guidelines on SEA. Staff support/P3 x 5.6 months x \$16,600 per month Staff support/ G4 x 5.6 months x \$8,400 per month Individual contractor to provide administrative support x 5.6 months x \$1,667 per month 6 international consultants x 1 month x \$7,200 per month | 297,455 92,960 47,040 9,335 43,200 | | |

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| | Travel of staff x 6 missions x \$1,300 per mission | 7,800 | | |
| | Travel of experts x 12 missions x \$1,460 per mission | 17,520 | | |
| | Contractual services/grants to provide national experts' inputs, technical and logistical support in 6 countries x \$13,100 per country | 78,600 | | |
| | Operating and other direct costs | 1,000 | | |
| | A2.7. Organizing two sub-regional events (i.e. training workshops and/or study tours) to facilitate information exchange and experience sharing among the beneficiary countries. | 370,119 | | |
| | Staff support/ P3 x 7.6 months x \$16,600 per month | 126,160 | | |
| | Staff support/ G4 x 7.6 months x \$8,400 per month | 63,840 | | |
| | Individual contractor to provide administrative support x 7.6 months x \$1,667 per month | 12,669 | | |
| | 5 international consultants x 0.5 month x \$9,504 per month | 23,760 | | |
| | Travel of staff x2 missions x \$1,900 per mission | 3,800 | | |
| | Travel of experts x 10 missions x \$1,900 per mission | 19,000 | | |
| | Contractual services to organize the events (3 days, up to 24 participants) x 2 events x \$59,945 per event | 119,890 | | |
| | Operating and other direct costs | 1,000 | | |
| | A2.8. Developing a model for a SEA database. | 235,202 | | |
| | Staff support/P3 x 4.8 months x \$16,600 per month | 79,680 | | |
| | Staff support/ G4 x 4.8 months x \$8,400 per month | 40,320 | | |
| | Individual contractor to provide administrative support x 4.8 months x \$1,667 per month | 8,002 | | |
| | 2 international consultants x 5 month x \$7,500 per month | 75,000 | | |
| | Travel of staff x 4 missions x \$1,300 per mission | 5,200 | | |
| | Travel of consultants x 4 missions x \$1,130 per mission | 4,520 | | |
| | Contractual services to provide technical and logistical support | 21,480 | | |
| | Operating and other direct costs | 1,000 | | |
| | Budget summary | 2,581,393 | | |
| | Evaluation | 51,628 | | |
| | 7% of Programme Support Costs | 184,311 | | |
| | Total⁵ | 2,817,332 | | Total: |

⁵ 5% of the total budget will be co-funded by UNECE